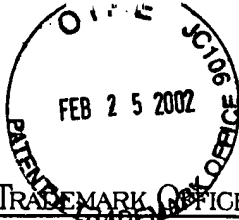




UNITED STATES PATENT AND TRADEMARK OFFICE



# 3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,858	12/05/2001	Arumugam Manthiram	05670P004

CONFIRMATION NO. 7142

008791  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

## FORMALITIES LETTER



\*OC000000007329283\*

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*John Smith*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/01/2002 VTRUDNG1 00000016 10010858

01 FC:205

.65.00 OP

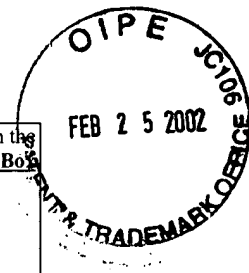
Certificate Under 37 CFR 1.8

This paper and any papers indicated as being transmitted herewith, are being deposited with the U.S. Postal Service on this date February 8, 2002, in an envelope addressed to Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231.

February 8, 2002  
Date

Signature

*Reina R. Benafeld*



Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Arumugam Manthiram et al.

Serial No.: 10/010,858

Filed: December 5, 2001

Title: SURFACE/CHEMICALLY MODIFIED  
OXIDE CATHODES FOR LITHIUM-ION  
BATTERIES

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Group Art Unit: 1745

Examiner: Not yet assigned

Attorney Docket No.: 05670P004

BOX MISSING PARTS

Commissioner of Patents

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application dated January 18, 2002, please find enclosed:

(1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;

(2) a check in the amount of \$65.00 in payment of the surcharge of 37 CFR § 1.16(e);  
and

(3) a copy of the Notice to File Missing Parts of Nonprovisional Application.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 2/08/2002

*Charles P. Landrum*

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